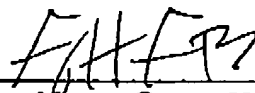


**Gates & Cooper LLP**Howard Hughes Center  
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Los Angeles, California 90045**RECEIVED**  
**CENTRAL FAX CENTER****OCT 16 2006****FAX TRANSMISSION TO USPTO**TO: Commissioner for Patents  
Attn: Examiner Andrew J. Rudy  
Patent Examining Corps  
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Alexandria, VA 22313-1450FROM: George H. Gates  
OUR REF.: 9618  
TELEPHONE: (310) 642-4146Total pages, including cover letter: 30PTO FAX NUMBER: 571-273-8300

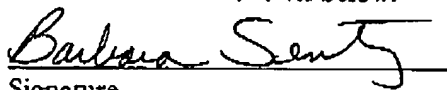
If you do NOT receive all of the pages, please telephone us at (310) 641-8797, or fax us at (310) 641-8798.

Title of Document Transmitted:	TRANSMITTAL SHEETS, PETITION TO WITHDRAW HOLDING OF ABANDONMENT WITH COPIES OF FACSIMILE SUBMISSION OF NON-COMPLIANT APPEAL BRIEF AND SUPPLEMENTAL BRIEF, AUTO-REPLY RECEIVED FROM THE PTO, A COPY OF PAIR, AND A VERIFIED STATEMENT BY GEORGE H. GATES IN SUPPORT OF THE PETITION TO WITHDRAW HOLDING OF ABANDONMENT.
Applicant:	Brian J. Wasserman et al.
Serial No.:	10/016,452
Filed:	December 10, 2001
Group Art Unit:	3627
Title:	DYNAMIC EVENT SELECTION FOR FINANCIAL PROCESSING IN A RELATIONAL DATABASE MANAGEMENT SYSTEM
Our Ref. No.:	9618

Please charge all fees to Deposit Account No. 14-0225 of NCR Corporation, the assignee of the present application.

By:   
Name: George H. Gates  
Reg. No.: 33,500

I hereby certify that this paper is being transmitted by facsimile to the U.S. Patent and Trademark Office on the date shown below.

  
SignatureOctober 16, 2006  
Date

GHG/bjs

G&amp;C 30145.419-US-01

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OCT 16 2006

Due Date: December 5, 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Brian J. Wasserman et al.	Examiner:	Andrew J. Rudy
Serial No.:	10/016,452	Group Art Unit:	3627
Filed:	December 10, 2001	Docket:	9618
Title:	DYNAMIC EVENT SELECTION FOR FINANCIAL PROCESSING IN A RELATIONAL DATABASE MANAGEMENT SYSTEM		

## CERTIFICATE OF MAILING OR TRANSMISSION UNDER 37 CFR 1.8

I hereby certify that this correspondence is being filed via facsimile transmission to the U.S. Patent and Trademark Office on October 16, 2006.

By: George H. Gates  
Name: George H. Gates

## MAIL STOP AMENDMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

We are transmitting herewith the attached:

- ☒ Transmittal sheet, in duplicate, containing a Certificate of Mailing or Transmission under 37 CFR 1.8.
- ☒ Petition to Withdraw Holding of Abandonment with copies of facsimile submission of Non-Compliant Appeal Brief and Supplemental Brief, Auto-Reply received from the PTO, and a copy of PAIR.
- ☒ Verified Statement by George H. Gates in Support of the Petition to Withdraw Holding of Abandonment.

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers, if appropriate.

Please charge all fees to Deposit Account No. 14-0225 of NCR Corporation (the assignee of the present application). A duplicate of this paper is enclosed.

Customer Number 22462  
**GATES & COOPER LLP**  
Howard Hughes Center  
6701 Center Drive West, Suite 1050  
Los Angeles, CA 90045  
(310) 641-8797

By: George H. Gates  
Name: George H. Gates  
Reg. No.: 33,500  
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Due Date: December 5, 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Brian J. Wasserman et al. Examiner: Andrew J. Rudy  
Serial No.: 10/016,452 Group Art Unit: 3627  
Filed: December 10, 2001 Docket: 9618  
Title: DYNAMIC EVENT SELECTION FOR FINANCIAL PROCESSING IN A RELATIONAL  
DATABASE MANAGEMENT SYSTEM

**CERTIFICATE OF MAILING OR TRANSMISSION UNDER 37 CFR 1.8**

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By: [Signature]  
Name: George H. Gates

**MAIL STOP AMENDMENT**

Commissioner for Patents  
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6701 Center Drive West, Suite 1050  
Los Angeles, CA 90045  
(310) 641-8797

By: [Signature]  
Name: George H. Gates  
Reg. No.: 33,500  
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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Brian J. Wasserman et al.	Examiner:	Andrew J. Rudy
Serial No.:	10/016,452	Group Art Unit:	3627
Filed:	December 10, 2001	Docket:	9618
Title:	DYNAMIC EVENT SELECTION FOR FINANCIAL PROCESSING IN A RELATIONAL DATABASE MANAGEMENT SYSTEM		

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I hereby certify that this correspondence is being filed via facsimile transmission with U.S. Patent and Trademark Office on October 16, 2006.

By:   
Name: George H. Gates

PETITION TO WITHDRAW HOLDING OF ABANDONMENT

## MAIL STOP PETITION

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Dear Sir:

Applicant's attorney submits this Petition in response to a "Notice of Abandonment" dated October 5, 2006 (attached hereto), which indicated that the application was abandoned due to Applicant's failure to timely file a proper reply to the Office letter (i.e., a Notification of Non-Compliant Appeal Brief) mailed October 27, 2005.

This Notice of Abandonment is erroneous.

A response to the Notification of Non-Compliant Appeal Brief and supplement Brief of Appellant were filed on November 28, 2005. Copies of the facsimile submission of these documents are attached, along with the auto-reply received from the PTO. Also attached is a copy of PAIR showing that these documents were entered into the file wrapper of the above-identified patent application.

Thus, Applicant's attorney hereby petitions for withdrawal of the holding of abandonment of this application.

This Petition is accompanied by a Verified Statement by George H. Gates attesting to the fact that the response to the Notification of Non-Compliant Appeal Brief and supplement Brief of Appellant were filed on November 28, 2005.

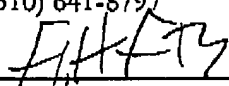
No fee is deemed necessary in connection with the filing of this Petition. However, should the Office determine that a fee is required, the Office is authorized to charge any such fee to Deposit Account No. 14-0225 of NCR Corporation, the assignee of the present invention.

Respectfully submitted,

GATES & COOPER LLP  
Attorneys for Applicant

Howard Hughes Center  
6701 Center Drive West, Suite 1050  
Los Angeles, California 90045  
(310) 641-8797

Date: October 16, 2006

By:   
Name: George H. Gates  
Reg. No.: 33,500

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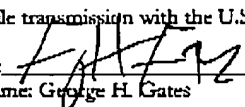
OCT 16 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Brian J. Wasserman et al.	Examiner:	Andrew J. Rudy
Serial No.:	10/016,452	Group Art Unit:	3627
Filed:	December 10, 2001	Docket:	9618
Title:	DYNAMIC EVENT SELECTION FOR FINANCIAL PROCESSING IN A RELATIONAL DATABASE MANAGEMENT SYSTEM		

## CERTIFICATE OF MAILING OR TRANSMISSION UNDER 37 CFR 1.8

I hereby certify that this correspondence is being filed via facsimile transmission with the U.S. Patent and Trademark Office on October 16, 2006.

By:   
Name: George H. Gates

VERIFIED STATEMENT BY GEORGE H. GATES IN SUPPORT OF  
THE PETITION TO WITHDRAW HOLDING OF ABANDONMENT

I, George H. Gates, hereby declare as follows:

1. I am a partner in the law firm of Gates & Cooper LLP, and a registered patent attorney having registration number 33,500.
2. I represent NCR Corporation in the prosecution of the above-identified patent application.
3. I submitted a response to the Notification of Non-Compliant Appeal Brief and supplement Brief of Appellant to the U.S. Patent and Trademark Office (PTO) on November 28, 2005. Copies of the facsimile submission of these documents are attached, along with the auto-reply received from the PTO. Also attached is a copy of PAIR showing that these documents were entered into the file wrapper of the above-identified patent application.
4. I further declare that all statements made herein of my own personal knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the above-referenced application or any patent issuing thereon.

Dated: October 16, 2006

By:   
Name: George H. Gates

## Auto-Reply Facsimile Transmission



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<b>Gates &amp; Cooper LLP</b> Howard Hughes Center 6701 Center Drive West Suite 1050 Los Angeles, California 90045							
<b>FAX TRANSMISSION TO USPTO</b>							
TO: Commissioner for Patents Attn: Examiner Andrew J. Rudy Patent Examining Corps Facsimile Center Alexandria, VA 22313-1450				FROM: George H. Gates OUR REF: 9618 TELEPHONE: (310) 642-4146			
Total pages, including cover letter: <u>19</u>				PTO FAX NUMBER: 571-272-8300			
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Title of Document		RESPONSE TO NOTIFICATION OF NON-COMPLIANT					
Transmitted:		APPEAL BRIEF and BRIEF OF APPELLANTS					
Applicant:		Brian J. Wasserman et al					
Serial No.		10/016,452					
Filed:		December 10, 2001					
Group Art Unit:		3627					
Title:		DYNAMIC EVENT SELECTION FOR FINANCIAL PROCESSING IN A RELATIONAL DATABASE MANAGEMENT SYSTEM					
Our Ref. No.:		9618					
Please charge all fees to Deposit Account No. 14-0225 of NCR Corporation, the assignee of the present application.							
By: <u>George H. Gates</u> Reg. No. 13,500							
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Signature: <u>[Signature]</u>				Date: <u>11/28/2005</u>			
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PAGE 015 * RCVD AT 11/28/2005 12:02 PM [Eastern Standard Time] * SVR:USPTO-EFXXF-5/14 * DNS:2738300 * CSID:+13106418798 * DURATION (mm:ss):03:46							

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\*\*\* SUCCESSFUL TX NOTICE \*\*\*

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TO: Commissioner for Patents  
 Attn: Examiner Andrew J. Rudy  
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FROM: George H. Gates  
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 TELEPHONE: (310) 642-4146

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PTO FAX NUMBER: 571-223-8300

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Title of Document	RESPONSE TO NOTIFICATION OF NON-COMPLIANT
Transmitted:	APPEAL BRIEF and BRIEF OF APPELLANTS
Applicant:	Edan J. Wasserman et al.
Serial No.:	10/016,452
Filed:	December 10, 2001
Group Art Unit	3627
Title:	DYNAMIC EVENT SELECTION FOR FINANCIAL PROCESSING IN A RELATIONAL DATABASE MANAGEMENT SYSTEM
Our Ref. No.:	9618

Please charge all fees to Deposit Account No. 14-0225 of NCR Corporation, the assignee of the present application.

By: FAHED  
 Name: George H. Gates  
 Reg. No.: 33,500

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Signature

Date

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